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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Reissue Application of:

THOMAS J. SHAW

For Reissue of U.S. Patent 5,810.775

Issued September 22, 1998

Serial No. 08/862,849

Filing Date: September 19, 2000

Serial No.:

09/665.634

For

CAP OPERATED RETRACTABLE

MEDICAL DEVICE

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Group Art Unit: 3763

Examiner: Rodriguez

CERTIFICATE OF FIRST CLASS MAILING

Via First Class Mail

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I hereby certify that the papers enclosed herein are being deposited with the United States Postal Service as First Class Mail, with postage prepaid, on the date set forth below in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. July 30, 2004

Date of Mailing

Signature

Kristen R. Paris, Registration No. 52,092 Attorney for Applicant

TRANSMISSION OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

According to the Final Office Action dated May 5, 2004, the Examiner has not yet reviewed or considered Applicant's Supplemental Information Disclosure Statement ("Statement") mailed on April 13, 2004. During a personal interview with the Examiner on July 13, 2004, Applicant's counsel asked the Examiner about the Statement and was informed that the Examiner did not have a copy of the Statement in her file. Examiner, therefore, asked Applicant's counsel to resend the Statement.

Accordingly, herein transmitted is a copy of the filed Statement, along with a copy of the return receipt postcard stamped by the USPTO, for the Examiner's review and consideration.

Respectfully submitted,

Kristen R. Paris

Registration No. 52,092

Date: July <u>30</u>, 2004 LOCKE LIDDELL & SAPP LLP 2200 Ross Avenue, Suite 2200 Dallas, TX 75201-6776 (214) 740-8678 (214) 740-8800 (fax)



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STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of:

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CAP OPERATED RETRACTABLE MEDICAL DEVICE

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Kristen R. Paris, Registration No. 52,092 Attorney for Applicant

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicants submit this supplemental Information Disclosure Statement to provide copies of references cited in a supplemental Search Report issued March 8, 2004 in the corresponding European application. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98 and §609 of the Manual of Patent Examining Procedure. The patents, publications and

other information herein are listed on the attached Form PTO/SB/08B. Copies of the listed references are submitted herewith.

Applicants hereby expressly reserve the right to swear behind the effective dates of any of the above patents and other publications and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

It is believed no fee is due for submission of this paper. If this is incorrect, the Commissioner is hereby authorized to charge any fee due to Locke Liddell & Sapp LLP Deposit Account No. 12-1781.

Respectfully submitted,

Kristen R. Paris

Registration No. 52,092

Date: April <u>13</u>, 2004 LOCKE LIDDELL & SAPP LLP 2200 Ross Avenue, Suite 2200 Dallas, TX 75201-6776 (214) 740-8678 (214) 740-8800 (fax)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known | | | | |
|------------------------|--------------------|---|--|--|
| Application Number | 09/665,634 | | | |
| Filing Date | September 19, 2000 | | | |
| First Named Inventor | Thomas J. Shaw | | | |
| Art Unit | 3763 | | | |
| Examiner Name | Rodriguez | - | | |
| Attorney Docket Number | 75320 / 74010 | | | |

| Examiner | Cite | Degree of Newstree | Dublication Date | DOCUMENTS | |
|---------------|------|--|---------------------------------------|--|---|
| Initials* | No.1 | Document Number Number-Kind Code ^{2 (# known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | Π |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | 7 Applicant of Orlean Boodinent | Or Relevant Figures Appear | T ⁶ |
| | | WO 96/05879 A1 | 02-29-1996 | New Med. Tech. | | |
| | | EP 0 539 634 A1 | 05-05-1993 | Care Med. Dev. | | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'See Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney: KRP/dd

Title: CAP OPERATED RETRACTABLE

MEDICAL DEVICE

Applicants: Shaw et al.

Client/Matter No. 75329 / 74019

Reissue of Patent No.: 5,810,775

Today's Date: 04/13/04

Enclosed for filing in the United States Patent and Trademark Office via first class mail are the following:

- 1. Transmittal of Supplemental Information Disclosure Statement;
- 2. Supplemental Information Disclosure Statement;
- 3. Copies of cited references; and
- 4. Postcard acknowledgement.

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Title: CAP OPERATED RETRACTABLE Reissue of Patent No.: 5,810,775

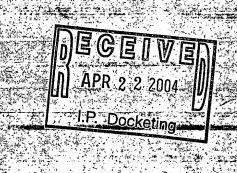
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